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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/574,005	03/29/2006	Jordi Tormo I Blasco	50000157PUS1	4340

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EXAMINER
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KLINKEL, KORTNEY L

ART UNIT	PAPER NUMBER
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1615

NOTIFICATION DATE	DELIVERY MODE
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09/04/2008

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

<b>Office Action Summary</b>	<b>Application No.</b> 10/574,005	<b>Applicant(s)</b> TORMO I BLASCO ET AL.	
	<b>Examiner</b> Kortney Klinkel	<b>Art Unit</b> 1615	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on 29 March 2006.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)            | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | Paper No(s)/Mail Date. _____                                      |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>3/29/2006</u> .   | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Claims***

Claims 1-10 are pending in the instant Office action.

### ***Information Disclosure Statement***

Acknowledgement is made of applicant's submitting an information disclosure statement on March 29, 2006. EP 0460575 was not in English and Applicants did not include a concise explanation of the relevance, as it is presently understood by the individual designated in 37 CFR 1.56(c) most knowledgeable about the content of the information, of each patent listed that is not in the English language. Therefore this document was not considered. With this exception, the submission is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement has been considered by the examiner.

### ***Foreign Priority***

Acknowledgement is made of applicant's foreign priority claims to German patent applications 10346138.8 and 10200401608.8, filed October 1, 2003 and March 30, 2004 respectively. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 2-3, 5, and 9-10 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 2 and thereby dependent claims 3 and 9, recite the limitation "the compound of the formula I and the compound of the formula II". There is insufficient antecedent basis for this limitation in the claim. Claim 2 as presently written is an independent claim. This rejection can be overcome by making claim 2 depend from claim 1, as one example.

Dependent claim 5 recites the limitation "applied simultaneously, that is jointly or separately, or in succession". Claim 5 is internally inconsistent because its parent claim, claim 4, indicates that compounds of the formula I and II are in admixture. A mixture does not allow for separate or successive application of ingredients contained therein. There is insufficient antecedent basis for this limitation in the claims.

Claim 10 provides for the use of the compounds I and II, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

***Claim Rejections - 35 USC § 101***

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim 10 is rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

Claim 10 provides for the use of the compounds I and II...for preparing a composition suitable for controlling harmful fungi, but, since the claim does not set forth any steps involved in the method/process, it is unclear what method/process applicant is intending to encompass. A claim is indefinite where it merely recites a use without any active, positive steps delimiting how this use is actually practiced.

Claim 10 is rejected under 35 U.S.C. 101 because the claimed recitation of a use, without setting forth any steps involved in the process, results in an improper definition of a process, i.e., results in a claim which is not a proper process claim under 35 U.S.C. 101. See for example *Ex parte Dunki*, 153 USPQ 678 (Bd.App. 1967) and *Clinical Products, Ltd. v. Brenner*, 255 F. Supp. 131, 149 USPQ 475 (D.D.C. 1966).

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pees et al. (WO 98/46607, as per Applicant's IDS) in further view of Wegmann et al. (WO 03/014103).

Applicants claim a fungicidal mixture which comprises the triazolopyrimidine derivative of formula I and trifloxystrobin of formula II in a synergistically effective amount.

Pees et al. teach triazolopyrimidine derivatives of formula I (instant formula I, see page 3, lines 5-15 and Example 2 on page 20 for preferred compound of instant claim

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1) to have enhanced systemic activity and enhanced fungicitoxy against rice diseases and powdery mildews (page 7, lines 8-11), namely for the control of phytopathogenic fungi such as *Altemaria solani*, *Botrytis cinerea*, *Cercospora beticola*, *Cladosporium herbarum*, *Corticium rolfsii*, *Erysiphe graminis*, *Helminthosporium tritici repentis*, *Leptosphaeria nodorum*, *Micronectriella nivalis*, *Monilinia fructigena*, *Mycosphaerella ligulicola*, *Mycosphaerella pinodes*, ***Pyricularia grisea f. sp. Oryzae*** (instant claim 8), *Rhizoctonia solani* and *Sclerotinia sclerotiorum*, *Uncinula necator*, in particular for the control of *Uncinula necator* (page 7 lines 13-19). Note particularly page 17, line 7 to page 19, line 2; especially page 17, line 19-22 states, "These mixtures of fungicides can have a broader spectrum of activity than the compound of general formula I [the triazolopyrimidine] alone. Furthermore, the other fungicide can have a synergistic effect on the fungicidal activities of the compound of general formula I." Pees discloses the strobilurin, azoxystrobin (page 17 line 24) as one of the possible other fungicides that can show a synergistic effect with the triazolopyrimidine derivative of question.

Pees also teaches the use of the triazolopyrimidines as a fungicidal composition comprising the triazolopyrimidine derivative in the instant application and also said triazolopyrimidine alone or in admixture with multiple active ingredients (page 12, lines 3-6 and 9-10 respectively) further comprising a liquid or solid carrier (page 12, lines 19-21), this reads on instant claim 3. Pees also discloses the method of using the above mentioned fungicidal mixture to treat the fungi, soil, peat, plants, seeds etc. (page 19, lines 3-12) which reads on instant claims 4-9.

Pees also teaches that the dose of fungicidal composition ranges from 0.01 to 10 kg of active ingredient per hectare (page 15, line 12). This equates to a range of 10 to 10,000 g/ha. This range of dosage amounts overlaps with the range disclosed by Applicant in claim 6 as well as the amount applied in claims 7 and 9 to seeds. In the case where the claimed ranges overlap or lie inside ranges disclosed by the prior art, a *prima facie* case of obviousness exists, see MPEP 2144.05. It would be within the capability of one of ordinary skill in the art to determine the necessary amounts of a particular fungicidal mixture necessary to exhibit maximum fungicidal effects in controlling harmful fungi at a particular locus of interest.

The instant invention differs from Pees in that the cited reference does not disclose the combination of the triazolopyrimidine with trifloxystrobin of instant formula II, but rather discloses the combination with another member of the strobilurin fungicide family, azoxystrobin. This deficiency is addressed through the teachings of Wegmann et al.

Wegmann et al. teach various synergistic fungicidal mixtures comprising the trifloxystrobin of the instant formula II (page 17, lines 19-25) as well as azoxystrobin. Wegmann teaches that combinations of trifloxystrobin with various fungicidal benzopyran-4-ones demonstrate a synergistic effect in combating fungi (page 21, lines 1-2, see also Tables 10 and 11 for experimental evidence of synergy for a fungicidal mixture comprising trifloxystrobin). Wegmann teaches that the fungicidal mixtures comprising trifloxystrobin are mixed with a carrier (page 21 lines 5-6) and the compositions can take the form of solutions, dispersions, aqueous emulsions, powders,



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formulations for treating seeds, formulations for fumigation *inter alia* (page 22, lines 8-15). The fungicidal mixtures are useful in combating *Pyricularia oryzae* *inter alia* (page 22, line 30). The fungicidal mixtures taught by Wegmann can be applied to the seeds, the plants or to the place where they grow or will grow (i.e. soil). Suitable dosages range from 5 to 1000 grams per hectare (page 23, lines 16-26). The weight ratio of benzopyran-4-one to trifloxystrobin as shown in tables 10 and 11 is 1:1, 1:2.5 and 1:5. This dosage range and weight range falls within the dosage range specified by claims 2, and 6 and overlaps with the dosage range of claims 7 and 9. In the cases where the claimed ranges overlap or lie inside ranges disclosed by the prior art, a *prima facie* case of obviousness exists, see MPEP 2144.05. It would be within the capability of one of ordinary skill in the art to determine the necessary concentrations and amounts of a particular fungicide or fungicidal mixture necessary to exhibit maximum fungicidal effects in controlling harmful fungi at a desired locus.

It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the instant invention to combine the teachings of the two references to develop the fungicidal mixtures claimed in the instant application. Pees teach that triazolopyrimidines are functional as fungicides and also show synergy when used in combination with other known fungicides. Likewise, Wegmann et al. teach that trifloxystrobin is a known fungicide and it is also known to show a synergistic effect in combination with other known fungicides. "It is *prima facie* obvious to combine two compositions each of which is taught by the prior art to be useful for the same purpose, in order to form a third composition to be used for the very same purpose.... [T]he idea

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of combining them flows logically from their having been individually taught in the prior art." *In re Kerkhoven*, 626 F.2d 846, 850, 205 USPQ 1069, 1072 (CCPA 1980).

Applicant's data in the specification has been considered. Because both Pees and Wegmann show synergistic effects with triazolopyrimidines and trifloxystrobin respectively in combination with a large number of other known fungicides, there is a high expectation of synergy in the instant claimed combinations. It could be reasonably argued that in most cases, additive or better than additive results should be expected for the combination of such fungicides to one of ordinary skill in the art. For the reason outlined above, claims 1-10 are deemed *prima facie* obvious in light of the teachings of the prior art and rejection under 35 U.S.C. § 103 is appropriate.

### **Conclusion**

Claims 1-10 are rejected. No claim is allowed.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kortney Klinkel, Ph.D. whose telephone number is (571)270-5239. The examiner can normally be reached on Monday-Friday 8am to 5pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Woodward can be reached at (571)272-8373. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

KLK

/MP WOODWARD/  
Supervisory Patent Examiner, Art Unit 1615